

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sector
#3

Applicant: Steve Kruey et al.

Title: DATABASE ITEM VERSIONING

Docket No.: 777.240US1

Filed: January 21, 1999

Examiner: Unknown



Serial No.: 09/235,038

Due Date: April 11, 1999

Group Art Unit: 2747

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ An Assignment of the invention to Microsoft Corporation (3 pgs.) and Recordation Form Cover Sheet (1 pg.).
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27.
- ☒ A signed Combined Declaration and Power of Attorney (3 pgs.).
- ☐ A check in the amount of \$ _____ to cover the Extension Fee.
- ☒ A check in the amount of \$130.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$838.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ A check in the amount of \$40.00 to cover the Assignment Recording Fee.
- ☒ Form PTO-1533 (1pg.)
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A return postcard.
- ☐ Other: ____.

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 25th day of March, 1999.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: *Bradley A. Forrest*
Name: Bradley A. Forrest
Reg. No. 30,837
BAF:CMG:af



SAN 09/235,038

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steve Krui et al.

Examiner: Unknown

Serial No.: 09/235,038

Group Art Unit: 2747

Filed: January 21, 1999

Docket: 777.240US1

Title: DATABASE ITEM VERSIONING

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$838.00 to cover the large entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6960.

Respectfully submitted,

STEVE KRUI ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6960

Date 3-25-99

By

Bradley A. Forrest
Reg. No. 30,837
BAF:CMG:af

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231, on 3-25-99.

Date of Deposit

Bradley A. Forrest

APPROXIMATE NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/25/08

55/21/55

1994

2

777-2401161

0242/0211

SCHWEGMAN LUNDBERG WOESSNER & KLUTH

NOT ASSIGNED

P O BOX 2938

MINNEAPOLIS MN 55402

DATE MAILED 2747

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

02/11/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 908.00

☒ 1. The statutory basic filing fee is:

 missing

insufficient.

Applicant must submit \$

such status (37 CFR 1.27)

Additional claim fees of \$

\$ 78.00 for 1 independent claims over 3

\$_____ for dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

☒ is missing or unexecuted.

 does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence

An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors, and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m))

7. Your filing receipt was mailed in error because your check was returned without payment

☒ 3. The application does not comply with the Sequence Rules

See attached Notice to Comply with Sequence Rules 37.CFR 1.821-1.825

LE 3 OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts".

A copy of this notice MUST be returned with the reply

Customer Service Center

Initial Patent Examination Division (703) 308-1262

PART 2: CORRECT BEHAVIOR WITH RESPECT TO

	7/08/1999	TJAU11	CONTRACT# 09235034	P
765.00	01			760.00 0P
765.00	02			76.00 0P
765.00	03			76.00 0P
765.00	04			76.00 0P